



# Contracts for Excellence Proposed Plan FY 14



Dennis M. Walcott, Chancellor

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# Contracts for Excellence Overview

The New York City Department of Education receives a portion of its overall budget in the form of Foundation Aid from New York State. Part of this funding is subject to the provisions of the State's "Contracts for Excellence." New York City schools received Contracts for Excellence, or C4E, funds for the first time in the 2007-08 school year. These are funds that, under State law, must be distributed to certain schools and must be spent by those schools in specific program areas.

## **Funds must go to students with the greatest educational need:**

- *English Language Learners*
- *Students in Poverty*
- *Students with Disabilities*
- *Students with Low Academic Achievement or At Risk of Not Graduating*

# Contracts for Excellence Overview

## Funds must support specific program initiatives:

- *Class Size Reduction*
- *Time on Task – programs focusing on students who may require additional or increased individualized attention in order to raise achievement*
- *Teacher & Principal Quality Initiatives – programs supporting development & retainment of high quality teachers and principals for raising achievement in struggling schools*
- *Middle & High School Restructuring – instructional and structural changes in middle and high schools to support class size reduction and raise achievement in struggling schools*
- *Full-Day Pre-Kindergarten Programs*
- *Model Programs for English Language Learners – programs aimed at supporting schools in adopting “best practices” for raising achievement among English Language Learners*

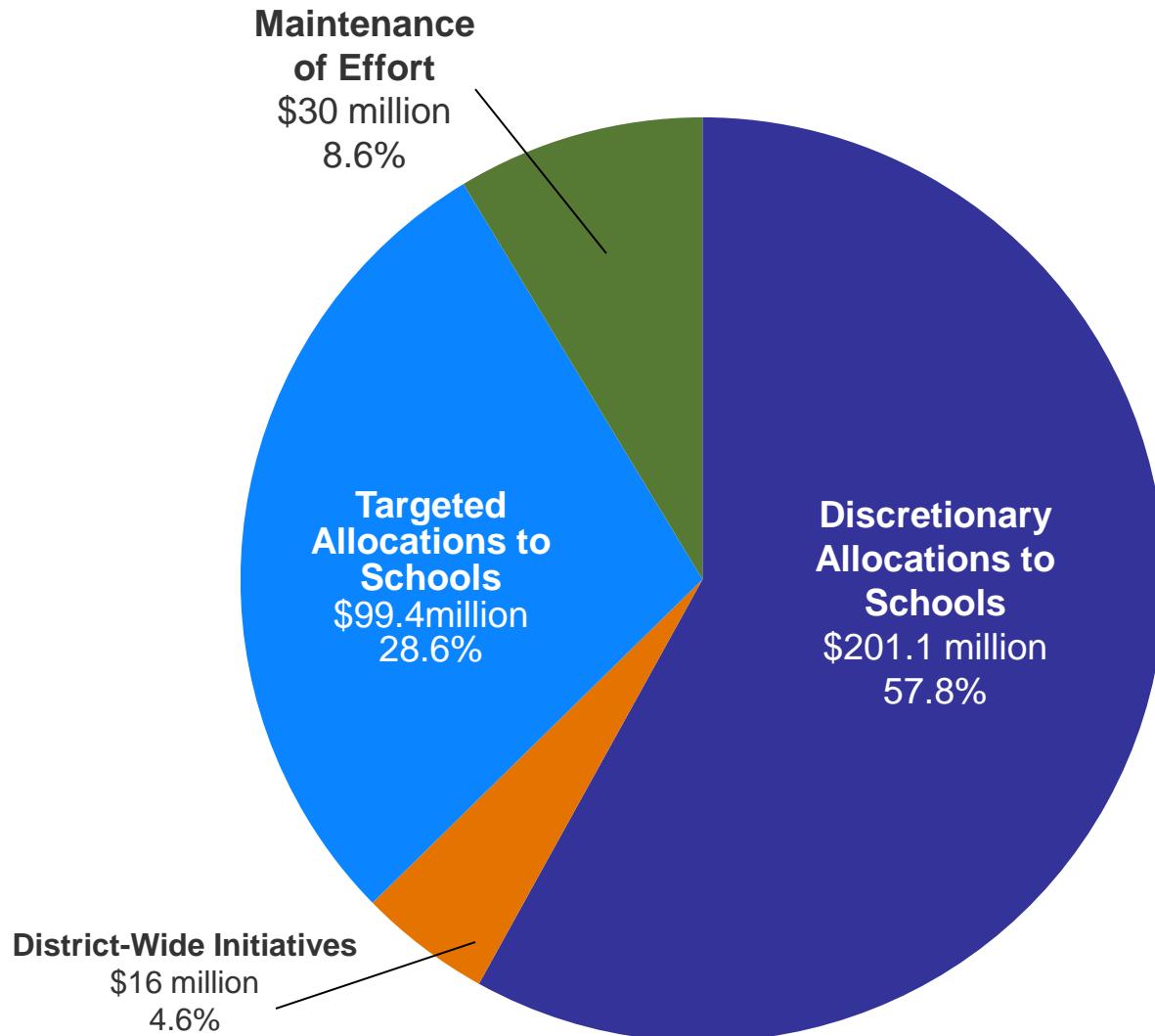
The FY14 C4E allocations described here are preliminary. As per FY14 C4E Regulations, New York City's C4E plan is subject to a public engagement process and approval by the State Education Department.

# Contracts for Excellence 2013-2014

- The Governor's 2013-14 Budget states that "school districts that submitted a contract for excellence for the two thousand twelve-two thousand thirteen school year, unless all schools in the district are identified as in good standing, shall submit a contract for excellence for the two thousand thirteen-two thousand fourteen school year".
- Funds are to be used to support C4E allowable programs, as approved by the Commissioner.
- For the current year, FY14, there are no new Contract for Excellence funds to apply towards new or expanded programs.
  - NYCDOE is in "maintenance of effort" status, meaning that C4E funds will be used to maintain programs that were approved in prior years.
- The total C4E amount for FY14 is \$530 million, out of which \$182 million is embedded in Fair Student Funding. Details for Fair Student Funding can be found [here](#). This leaves \$348 million for school allocations and district-wide programs. This presentation represents the \$348 million.

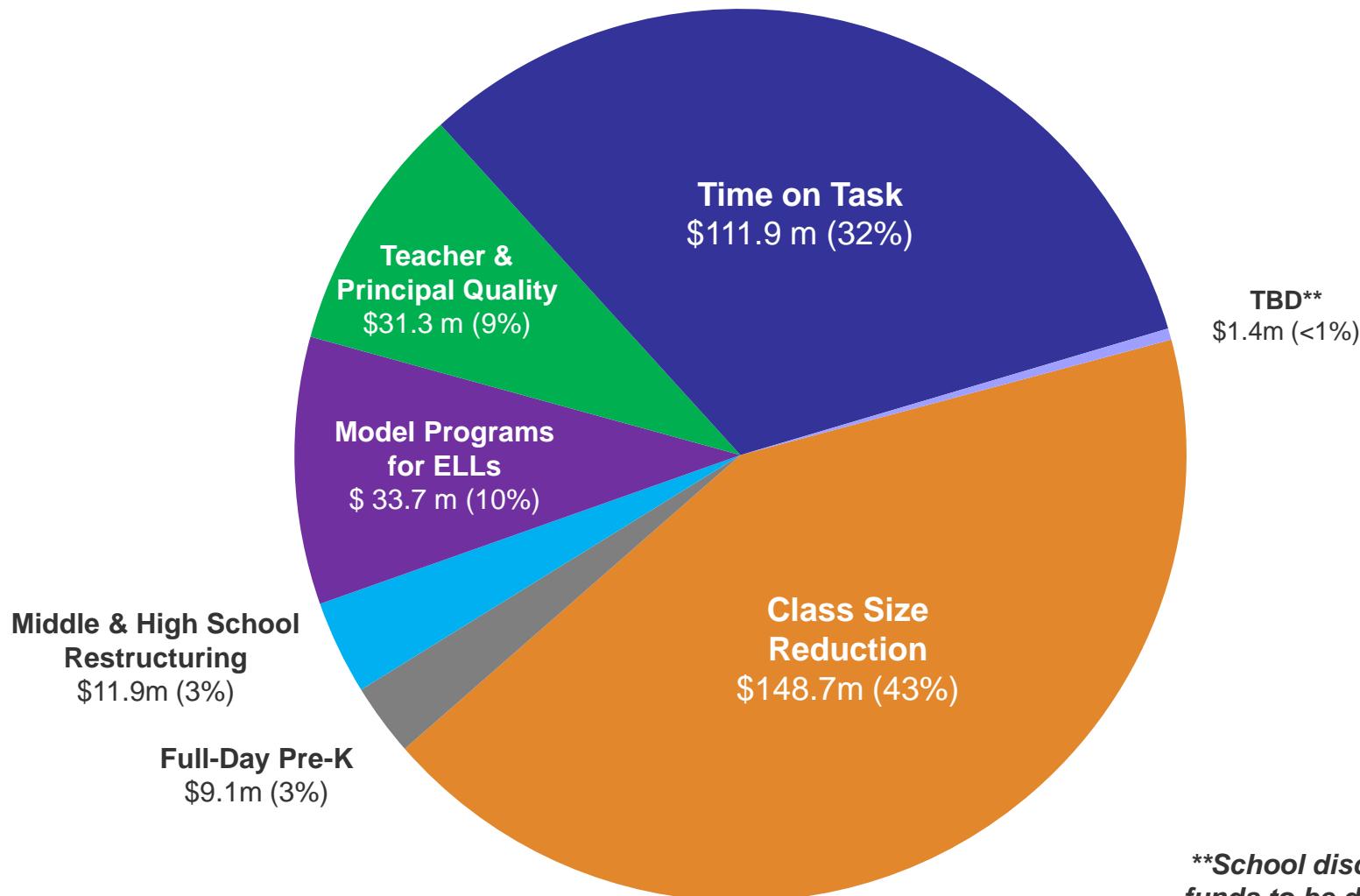
# NYC's 2013-14 Citywide C4E Plan: By Allocation Type

## Allocation of Funds



# 2013-14 Citywide C4E Plan: All Funds By Program Area

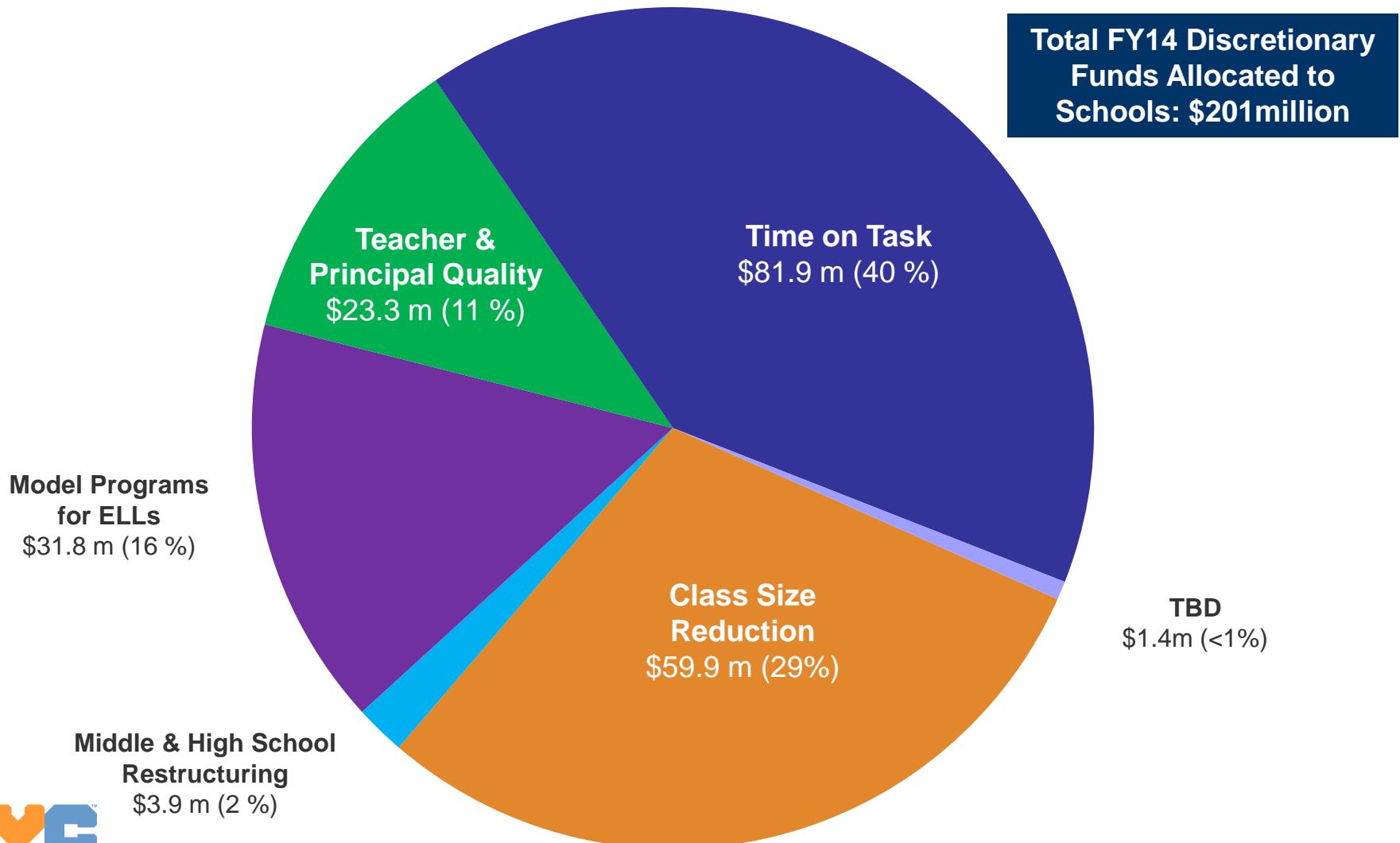
By Program Area\*



*\*\*School discretionary  
funds to be determined*

# All Proposed Discretionary Spending: By Program Area

By Program Area\*



Total FY14 Discretionary  
Funds Allocated to  
Schools: \$201million

## Discretionary Allocations to Schools

Amount	<p><b>\$201.1 million</b> in restricted Contracts for Excellence funds were released to 1,400+ schools in June 2013.</p> <p><b>57.8% of total Contract amount</b></p>
Description	<p>Schools should use Contracts for Excellence funds to establish continuity of service for existing C4E programs.</p> <p>However, if a school cannot maintain effort due to significant changes in its student population or its overall instructional strategy, it could choose to reallocate funds to a different allowable program area.</p>

## Targeted Allocations to Schools

Amount	About <b>\$99.4 million</b> of C4E funds were targeted for specific programs. Schools receiving allocations were chosen based on a) overall student need and b) capacity to carry out the specific programs.  <b>28.6% of total Contract amount</b>
Description	Funds allocated by the DOE directly to schools for specific uses that are eligible within the C4E programs areas: <ul style="list-style-type: none"><li>■ <i>\$82.2 million – Integrated Co – Teaching Classrooms (ICT)</i> <i>(Formerly Collaborative Team Teaching (CTT))</i></li><li>■ <i>\$9.1 million – Full-Day Pre-K</i></li><li>■ <i>\$6.3 million – Autism Spectrum Disorder (ASD) Classrooms</i></li><li>■ <i>\$1.8 million – ELL Summer School</i></li></ul> Schools that received “targeted” C4E allocations in 2009-10 received those allocations again in 2013-14 as long as they retained the population necessary to maintain effort.

## District-Wide Initiatives

Amount	<p><b>\$16 million</b> is our estimate in this category. While these funds will not appear on schools' budgets, the Department is required to attribute dollars to programs that support the neediest students as part of the DOE's citywide C4E plan.</p> <p><b>4.6% of total Contract amount</b></p>
Description	<p>Funds allocated to Central programs that directly benefit high-need schools.</p> <ul style="list-style-type: none"> <li>▪ <i>\$6.3 million – Multiple Pathways to Graduation Initiatives (for over-age and under-credit students)</i></li> <li>▪ <i>\$8 million – Principal Training Initiatives</i></li> <li>▪ <i>\$1.7 million – College and AP Prep for High Need 9-12 Students</i></li> <li>▪ <i>\$75,000 – ELL Youth Institute</i></li> </ul>

## Maintenance of Effort

Amount	<p><b>\$30 million</b></p> <p><b>8.6% of total Contract amount</b></p>
Description	<p>The Department proposes to spend these funds to maintain summer programs impacting the students with the lowest academic achievement in the city.</p>

## Proposed Discretionary Spending – CEC 26\*

Schools in this district were allocated discretionary Contracts for Excellence funds. Schools have proposed to spend those funds as follows:

C4E Program Area	Amount Budgeted	% Total
Class Size Reduction	\$ 1,189,253	47%
Full Day Pre-K	-	-
Middle School & High School Restructuring	\$ 89,134	3%
Model Programs for ELLs	\$ 17,033	1%
Teacher & Principal Quality Initiatives	\$ 204,756	8%
Time on Task	\$ 1,057,161	41%
<b>Grand Total</b>	<b>\$ 2,557,337</b>	<b>100%</b>

Comprehensive information about these proposed allocations – including school-level program strategies and performance targets - are available online at:

<http://schools.nyc.gov/AboutUs/funding/c4e/default.htm>

# 13-14 C4E Plan: All Funds By Program Strategy – CEC 26\*

		Citywide Total	CEC 26 Total	CEC 26 % Total
Class Size Reduction	Maintain Class Size	\$10.4M	\$ 146,323	3%
	Maintain PTR	\$2.9M	-	-
	Minimize Class Size Growth	\$1.7M	\$ 40,083	1%
	Reduced Class Size	\$39.4M	\$ 1,002,847	20%
	Reduced PTR	\$6.9M	-	-
	Team Teaching Strategies	**\$87.4M	\$ 1,423,887	29%
	<b>Total</b>	<b>\$148.7M</b>	<b>\$ 2,613,140</b>	<b>53%</b>
Time on Task	Before & After School	\$3.2M	-	-
	Dedicated Instruction	\$77.3M	\$ 1,057,161	22%
	Individualized Tutoring	\$1.4M	-	-
	Summer School	\$30M	-	-
	<b>Total</b>	<b>\$111.9M</b>	<b>\$ 1,057,161</b>	<b>22%</b>
Teacher and Principal Quality Initiatives	Leadership Coaches	\$9.4M	-	-
	Mentoring for New Staff	\$2.0M	\$ 3,768	<1%
	Recruit & Retain HQT	\$2.3M	-	-
	Teacher Coaches	\$17.6M	\$ 200,988	4%
	<b>Total</b>	<b>\$31.3M</b>	<b>\$ 204,756</b>	<b>4%</b>

\*\* Targeted allocations (CTT and ASD Classrooms) + school-level discretionary allocations

\*All proposed allocations described in this plan are preliminary and contingent on further analysis of school-based conditions.

# 13-14 C4E Plan: All Funds By Program Strategy – CEC 26\*

		Citywide Total	CEC 26 Total	CEC 26 % Total
Middle & High School Restructuring	MSHS Instructional Changes	\$10.3M	\$ 89,134	2%
	MSHS Structural Changes	\$1.6M	-	-
	<b>Total</b>	<b>\$11.9M</b>	<b>\$ 89,134</b>	<b>2%</b>
Full-Day Pre-K	<b>Total</b>	<b>\$9.1M</b>	<b>\$ 917,076</b>	<b>19%</b>
Model Programs for ELLs	ELL Innovative Programs	\$33.3M	\$ 17,033	<1%
	ELL Parent Involvement	\$174K	-	-
	ELL Teacher Recruitment	\$177K	-	-
	<b>Total</b>	<b>\$33.7M</b>	<b>\$ 17,033</b>	<b>&lt;1%</b>

*(\$1.4 million in discretionary funds still to be determined by schools)*

# Class Size Reduction Planning

In light of the current federal and state financial constraints, it was necessary to re-evaluate the original 5 year Class Size Reduction Plan that was first introduced in 2007. That plan was predicated on an increase in Contract for Excellence funds year over year which has not been realized. After consulting with NYSED, a proposed amended Class Size Reduction Plan was presented for approval to NYSED.

On or about July 2, 2013, SED approved NYCDOE's amended Class Size Reduction Plan which focuses on a cross section of schools that have predominantly large class sizes and low student performance.

NYCDOE identified a list of 75 schools with:

1. High average class size in the previous school year (average of 26 students per class or higher),
2. Low student performance (NYCDOE Progress Report grade of C, D, or F as well as struggling schools),
3. and a building utilization rate of less than 100%.

For FY14, NYCDOE anticipates that an analysis of the change in average class size between FY13 and FY14 in these 75 schools will show an overall decrease in class size or an increase of less than 0.5 students per class from the 2012-13 school year.

# Class Size Reduction Planning

For 2013-14, NYSED has required NYCDOE to:

- Provide an update on class size numbers for the Targeted 75 schools identified in 2012-13 relative to the changes in the citywide averages,
- Certify to NYSED that average class size or pupil teacher ratio in each of the 75 targeted schools with low academic performance identified in the 2013 -14 school year plan will not increase by more than half of the citywide increase over the 2012-13 class size.

A list of the FY13 Target 75 schools can be found [here](#).

# Public Comment

We will take public feedback into account in the coming months as we continue to develop a citywide Contracts for Excellence plan.

The deadline for submitting public comments will be **Friday, October 18<sup>th</sup>** which is more than 30 days from the date the comprehensive 2013-14 proposed plan was posted on the DOE's website.

The public may comment on any aspect of the plan, including:

- How schools are planning to spend their discretionary funds within the six allowable program areas
- How the DOE is allocating targeted Contract funds to schools
- How the DOE is allocating funds for District-Wide Initiatives
- NYC's Class Size Reduction plan
- The public comment process

Educators, parents, and all other members of the New York City community with feedback should e-mail us at [ContractsForExcellence@schools.nyc.gov](mailto:ContractsForExcellence@schools.nyc.gov)